C 21474

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Name..... Reg. No.....

### FOURTH SEMESTER (CBCSS-UG) DEGREE EXAMINATION, APRIL 2022

B.Com.

#### BCM 4B 06—CORPORATE REGULATIONS

(2019 Admission onwards)

Time : Two Hours and a Half

Maximum : 80 Marks

#### Section A

Answer at least **ten** questions. Each question carries 3 marks. All questions can be attended. Overall Ceiling 30.

- 1. What is One Person Company?
- 2. What is Articles of Association?
- 3. Statement in lieu of prospectus.
- 4. What is Initial Public Offering?
- 5. What is Book building?
- 6. Describe audit committee.
- 7. What is Corporate governance ?
- 8. What is Securities Appellate Tribunal?
- 9. What is an ordinary resolution ?
- 10. Winding up of company.
- 11. What is meant by SEBI?
- 12. What is a Liquidator ?
- 13. What is fraudulent preference?
- 14. What is Liquidation Dividend?
- 15. What is Quorum ?

 $(10 \times 3 = 30 \text{ marks})$ 

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#### Section B

2

Answer at least **five** questions. Each question carries 6 marks. All questions can be attended. Overall Ceiling 30.

- 16. What are the features of Small Company ?
- 17. What is share capital ? What are the different classes of share capital ?
- 18. What is ultra vires ? What are the effects of ultra vires ?
- 19. What is sweat equity ? What are the conditions to be satisfied for issue of sweat equity shares ?
- 20. What is Buy Back of securities ? What are the manners of buy back of shares ?
- 21. What are the roles (position) of directors in a company?
- 22. What are the basic objectives of SEBI?
- 23. List out the functions of company secretary.

 $(5 \times 6 = 30 \text{ marks})$ 

#### Section C

Answer any **two** questions. Each question carries 10 marks.

- 24. Discuss the functions and Powers of SEBI.
- 25. What is Incorporation ? What are the procedures of incorporation of company ?
- 26. What is Corporate Social Responsibility? Discuss its importance and benefits.
- 27. What do you meant by Articles of Association ? What are its contents ?

 $(2 \times 10 = 20 \text{ marks})$ 

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### FOURTH SEMESTER (CBCSS-UG) DEGREE EXAMINATION, APRIL 2022

B.Com.

BCM 4B 06—CORPORATE REGULATIONS

(2019 Admission onwards)

(Multiple Choice Questions for SDE Candidates)

Time : 15 Minutes

Total No. of Questions : 20

Maximum : 20 Marks

# **INSTRUCTIONS TO THE CANDIDATE**

- 1. This Question Paper carries Multiple Choice Questions from 1 to 20.
- 2. The candidate should check that the question paper supplied to him/her contains all the 20 questions in serial order.
- 3. Each question is provided with choices (A), (B), (C) and (D) having one correct answer. Choose the correct answer and enter it in the main answer-book.
- 4. The MCQ question paper will be supplied after the completion of the descriptive examination.

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#### BCM 4B 06—CORPORATE REGULATIONS

(Multiple Choice Questions for SDE Candidates)

- 1. \_\_\_\_\_ is the conclusive evidence in case of company that statutory requirements have complied with.
  - (A) Certificate of Incorporation. (B) Certificate of commencement of Business.
  - (C) Both. (D) None of the above.

2. Private company can start its business immediately after the issue of :

- (A) Certificate of commencement of Business.
- $(B) \quad Certificate \ of \ Incorporation.$
- (C) Both.
- (D) None of the above.
- 3. A company can change its name at its own discretion by passing \_\_\_\_\_
  - (A) Ordinary resolution. (B) Special resolution.
  - (C) Boards resolution. (D) None of the above.
- 4. Any change in the address of the registered office must be communicated to the registrar within :
  - (A) 15 days. (B) 30 days.
  - (C) 1 month. (D) 12 months.
- 5. If a new company get registered with a name which resembles the name of existing company then it should apply to whom ?
  - (A) NCLT.(B) SEBI.(C) ROC.(D) None of the above.

6. Under which sec. if company fails to commence its main object the court may order winding up :

- (A) 403 (f).
  (B) 413 (f).
  (C) 423 (f).
  (D) 433 (f).
- 7. Signature of memorandum and articles should be done by number of persons in case of private company.

(A) <b>3</b> .	(B) 4.
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(C) 2. (D) None of these.

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8. Definition of prospectus was given under which sec.

(A)	2 (30).	(B)	2 (32).
(C)	2 (34).	(D)	2(36).

9. \_\_\_\_\_ years of imprisonment will be imposed in case of issue of prospectus with untrue statements.

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(A)	1.		(B)	2.
(C)	3.		(D)	4.

<sup>10.</sup> \_\_\_\_\_ are required to file prior to making second and subsequent issue of securities in case shelf prospectus are filed.

(A)	Information memorandum.	(B)	Information articles.
(C)	Form 13.	(D)	None of the above.

- 11. Part of the issued capital taken by public is called
  - (A) Subscribed. (B) Called-up capital.
  - (C) Un called capital. (D) Paid up capital.
- 12. Paying back of capital is called :
  - (A) Redemption. (B) Conversion.
  - (C) Participation. (D) None.
- 13. A company to issue sweat equity shares must pass a :
  - (A) Special resolution. (B) Ordinary resolution.
  - (C) Unanimous resolution. (D) None of these.
- 14. Stamp duty to be paid at the time of issue of share certificate is :
  - (A) Nominal. (B) High.
  - (C) Very high. (D) None of these.

15. \_\_\_\_\_\_ is voluntary passage of the rights and duties of member from a share holder.

- (A) Transfer. (B) Transmission.
- (C) Both (A) and (B). (D) None of the above.

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16.		——— transfer is null and void.		
	(A)	Forged.	(B)	Blank.
	(C)	Both (A) and (B).	(D)	None of the above
17.	In whic	ch of the following stamp duty and	consid	leration not necessary
	(A)	Transfer.	(B)	Transmission.
	(C)	Both (A) and (B).	(D)	None of the above.
18.	Qualifi	cation shares for a director are prov	vided	in the
	(A)	Company Act.	(B)	MOA.
	(C)	AOA.	(D)	Contract b/w Company and Directors.
19.	A speci	al resolution is passed with :		
	(A)	Simple majority.	(B)	60% majority of members present.
	(C)	75%.	(D)	None of the above.
20.	A proxy	y must bear revenue stamp worth.		
	(A)	30 paise.	(B)	15 paise.
	(C)	45 paise.	(D)	50 paise.