

D 50497

(Pages : 2)

Name.....

Reg. No.....

**FIFTH SEMESTER (CBCSS—UG) DEGREE EXAMINATION
NOVEMBER 2023**

B.B.A.

BBA 5B 11—FINANCE ELECTIVE II—FINANCIAL MARKET AND INSTITUTIONS

(2019 Admission onwards)

Time : Two Hours and a Half

Maximum : 80 Marks

Part A*Answer all questions.*

1. What do you mean by secondary market ?
2. What is ADR ?
3. What do you mean by private placement ?
4. What is Dematerialisation ?
5. What is curb trading ?
6. What is guilt edged securities ?
7. What is interbank participation certificate ?
8. Write short note on Zero Coupon Bonds ?
9. Define Derivatives.
10. What do you mean by online trading ?
11. What do you mean by Commercial Paper market ?
12. What do you mean by wash sales ?
13. What is Repo ?
14. What is leasing ?
15. What do you mean by underwriting ?

(15 × 2 = 30, Maximum ceiling 25 Marks)

Turn over

Part B

Answer all questions.

16. Explain the regulatory functions of SEBI.
17. Write a short notes on NSDL.
18. What are the main features of derivatives ?
19. What are the SEBI regulations on insider trading ?
20. What are the functions of new issue market ?
21. Distinguish between primary market and secondary market.
22. Explain the functions of stock exchange ?
23. Discuss about RBI regulations on money market.

(8 × 5 = 40, Maximum ceiling 35 Marks)

Part C

Answer any two questions.

24. Discuss the benefits and drawbacks of depositories in India.
25. Explain about the instruments used in capital market.
26. Briefly explain the various categories of DFIs in India.
27. Discuss about the various steps involved in the process of listing of securities.

(2 × 10 = 20 marks)

D 50497–A

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(2019 Admission onwards)

(Multiple Choice Questions for SDE Candidates)

Time : 15 Minutes**Total No. of Questions : 20****Maximum : 20 Marks****INSTRUCTIONS TO THE CANDIDATE**

1. This Question Paper carries Multiple Choice Questions from 1 to 20.
2. The candidate should check that the question paper supplied to him/her contains all the 20 questions in serial order.
3. Each question is provided with choices (A), (B), (C) and (D) having one correct answer. Choose the correct answer and enter it in the main answer-book.
4. The MCQ question paper will be supplied after the completion of the descriptive examination.

BBA 5B 11—FINANCE ELECTIVE II—FINANCIAL MARKET AND INSTITUTIONS

(Multiple Choice Questions for SDE Candidates)

1. Which of the following is not a regulatory institutions in Indian financial system.
 - (A) RBI.
 - (B) CIBIL.
 - (C) SEBI.
 - (D) IRDA.
2. SEBI was established in _____.
 - (A) 1988.
 - (B) 1985.
 - (C) 1991.
 - (D) 1990.
3. _____ Committee was constituted by SEBI for deciding about derivatives trading.
 - (A) L. C. Gupta.
 - (B) R. L. Gupta.
 - (C) Vaghul.
 - (D) Malhotra.
4. _____ market is a market for old issues.
 - (A) Money market.
 - (B) Primary market.
 - (C) Secondary market.
 - (D) All the above.
5. Financial institutions are also known as _____.
 - (A) Financial organisation.
 - (B) Financial intermediaries.
 - (C) Financial system.
 - (D) Any of the above.
6. IDBI was established in _____.
 - (A) 1948.
 - (B) 1954.
 - (C) 1992.
 - (D) 1964.
7. NIFTY is the index of :
 - (A) BSE.
 - (B) NSE.
 - (C) OTCEI.
 - (D) CSE.

8. _____ is also known as “G. Secs”.
- (A) Gold Traded Fund (GTF). (B) General Securities.
(C) Govt. Securities. (D) Growth oriented fund.
9. An option allowing the owner to sell an asset at a future date is a :
- (A) Put option. (B) Call option.
(C) Swap. (D) Forward contract.
10. The certificate which evidences an unsecured corporate debt of short term maturity ?
- (A) Certificate of Deposit. (B) Commercial paper.
(C) Secured Premium Notes. (D) Interbank participation Certificate.
11. When sales of securities are more than purchases of securities, the market is called ?
- (A) Bullish. (B) Bearish.
(C) Grey. (D) Odd.
12. _____ is the process of selling securities without owning them.
- (A) Short selling. (B) Long selling.
(C) Margin trading. (D) Price rigging.
13. Which of the following is / are method of Buy back of shares ?
- (A) Tender offers. (B) Open offers.
(C) Dutch Auction. (D) All the above.
14. National Stock Exchange (NSE) established in the year ?
- (A) 1990. (B) 1992.
(C) 1995. (D) 1999.

Turn over

15. "A central location for keeping securities on deposit" is :
- (A) Stock exchange. (B) Investment bank.
(C) Depository. (D) Merchant banks.
16. Shares of well established and financially sound companies, with very little investment risk and good history of earnings is known as :
- (A) Alpha shares. (B) Blue chip shares.
(C) Star stock. (D) Beta stock.
17. The dollar version of Index of BSE is :
- (A) Defty. (B) Dollex.
(C) Both the above. (D) None.
18. In security market, "ASBA" stands for :
- (A) Application Supported by Blocked Amount.
(B) Automated Security Borrowing Arrangement.
(C) Active Securities Buying Agreement.
(D) Automatic Security Buying Assistance.
19. If you bought a long futures contract you hope that bond prices.
- (A) Rise. (B) Fall.
(C) Fluctuate. (D) Stable.
20. If you buy a put option on treasury futures at 115, and at expiration the market price is 110.
- (A) The call will be exercised. (B) The put will be exercised.
(C) The call will not be exercised. (D) The put will not be exercised.